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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/687,570
	Filing Date	October 15, 2003
	First Named Inventor	Mark Frei
	Art Unit	3736
	Examiner Name	Not assigned
Total Number of Pages in This Submission	Attorney Docket Number	011738.00138

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO/SB/08A and B (without U.S. patent references) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input checked="" type="checkbox"/> PCT International Search Report <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> .CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> -Express Mail Certificate -Return Receipt Postcard
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Firm or Individual name	Binal J. Patel
Signature	
Date	June 10, 2004

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 011738.00138)

In the Application of: )  
)  
Mark Frei, et al. ) Confirmation No. 7127  
)  
Serial No. 10/687,570 ) Group Art Unit: 3736  
)  
Filed: October 15, 2003 ) Examiner: Not assigned  
)  
For: PHASE SHIFTING OF NEUROLOGICAL SIGNALS )  
IN A MEDICAL DEVICE SYSTEM )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56. Copies of the U.S. patent references cited below are not enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

**REFERENCES**

**U.S. Patent Documents**


<b><u>Patent No.</u></b>	<b><u>Inventor</u></b>	<b><u>Date Granted</u></b>
6,366,814	Boveja, et al.	04/02/2002
6,587,727	Osorio, et al.	07/01/2003
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6,609,030	Rezai, et al.	08/19/2003

Other Prior Art

1. PCT International Search Report.

Respectfully submitted,  
BANNER & WITCOFF, LTD

Dated: June 10, 2004

By:   
Binal J. Patel  
Reg. No. 42,065








**CERTIFICATE OF MAILING  
(PATENT APPLICATION)**

Express Mail No. EL995824849US

Deposited: June 10, 2004

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By:  \_\_\_\_\_

Application of: Mark Frei, et al.

Application No.: 10/687,570

Filing Date: October 15, 2003

Title: Phase Shifting Of Neurological Signals In A Medical Device System

Transmitted herewith are the following documents:

- ☒ Transmittal Form (1 page) in duplicate
- ☒ Supplemental Information Disclosure Statement (2 pages)
- ☒ Form PTO/SB/08A and B (2 pages) without U.S. patent references
- ☒ PCT International Search Report (5 pages)
- ☒ Return Postcard

Attorney Case No.: 011738.00138